

RSOQ #18FD-08102017
REQUEST FOR STATEMENTS OF QUALIFICATION (RSOQ):
Design Services for Maricopa Fire/Medical Administration Facility

INTRODUCTION

The City of Maricopa is requesting competitive sealed statements of qualifications for a properly licensed individual or firm to provide Professional Design Services. The qualifications shall be submitted at the address or physical location until the date and time detailed below. Statements of qualification shall be delivered to the City non-electronically and shall be in the actual possession of the City on or prior to the exact date and time indicated below. Late offers shall not be considered. *Offers shall be submitted in a sealed package with “RSOQ 18FD-08102017” and the Offeror’s name and address clearly indicated on the front of the package.* All offers shall be completed in ink or typewritten. Offerors are strongly encouraged to carefully read the *entire* Request for statement of qualifications.

Pre-Submittal Meeting:	November 1, 2017, 10:00 a.m. AZ Time
Offer Due Date:	November 16, 2017
Offer Time:	2:00:00 PM, Arizona Time
Number of Qualifications:	1 unbound original and 7 bound copies (please label original)
Contact:	Kathleen M. Shipman, Purchasing Manager
E-Mail (Questions only) :	kathleen.shipman@maricopa-az.gov
Mailing Address:	39700 W. Civic Center Plaza, Maricopa, AZ 85138
Proposal Delivery Location:	39700 W. Civic Center Plaza, Maricopa, AZ 85138

OFFER

To the City of Maricopa: The undersigned on behalf of the entity, firm, company, partnership, or other legal entity listed below offers on its behalf to the City an offer that contains all terms, conditions, specifications and amendments in the Notice of Request for Statement of Qualifications issued by the City. Any exception to the terms contained in the Notice of Request for Statement of Qualifications must be specifically indicated in writing and are subject to the approval of the City prior to acceptance. The signature below certifies your understanding and compliance with the Terms and Conditions contained in the Request for Statement of Qualifications package issued by the City.

Arizona Transaction (Sales) Privilege Tax License Number: _____	For clarification of this offer contact: Name: _____ Email: _____
Federal Employer Identification Number: _____	Telephone: _____
Company Name	Authorized Signature for Offer
Address	Printed Name
City State Zip Code	Title

INSTRUCTIONS TO OFFEROR

1. PREPARATION OF OFFER:

- a. Telegraphic (facsimile), electronic or Mailgram offers will not be considered.
- b. The offer document shall be submitted with an original blue ink signature by a person authorized to sign the offer.
- c. Erasures, interlineations, or other modifications in the offer shall be initialed in original blue ink by the authorized person signing the offer.
- d. If price is a consideration and in case of error of prices in the offer, the unit price shall govern. No offer shall be altered, amended, or withdrawn after the specified offer due date and time.
- e. Periods of time, stated as a number of days, shall be calendar days.
- f. It is the responsibility of all Offerors to examine the entire *Request for Statement of Qualifications* package and seek clarification of any item or requirement and to check all responses for accuracy before submitting an offer. Negligence in preparing an Offer confers no right of withdrawal after offer due date and time.

2. **INQUIRIES:** Any question related to the *Request for Statement of Qualifications* shall be directed in writing or via e-mail **no later than five (5) days prior to the Request opening date**, to the person whose name appears on the front. Any questions submitted after that period may not be answered due to time constraints. Any correspondence related to a *Request for Statement of Qualifications* should refer to the appropriate *Request for Statement of Qualifications* ID, page, and paragraph number. These questions and answers will be communicated to all via a formal Addendum to the solicitation, posted on the City website as Q&A Clarifications. However, the Offeror shall not place the *Request for Statement of Qualifications* ID on the outside of any envelope containing questions since such an envelope may be identified as a sealed offer and may not be opened until after the official *Request for Statement of Qualifications* due date and time.

3. PRE-SUBMITTAL CONFERENCE:

A pre-submittal conference will be held on Wednesday, November 1, 2017 at 10:00 a.m. AZ time **at the City of Maricopa, 39700 W Civic Center Plaza, Maricopa, Arizona 85138**. At this meeting, the Project Team will discuss the scope of work, general contract issues and respond to questions from the attendees.

All interested firms are encouraged to attend the Pre-Submittal Conference since City staff will not be available for meetings or to respond to individual inquiries regarding the project scope outside of this conference. In addition, there will not be meeting minutes or other information published from the Pre-Submittal Conference.

4. **RSOQ OFFER FORMAT:** *A total of one (1) unbound original document (label original) and seven (7) bound copies of the offer shall be submitted in the format indicated in the Offer Format and Requirement section of the RSOQ.* The Statement of Qualifications shall include a one-page cover letter, plus a maximum of twelve (12) pages to address the RSOQ evaluation criteria (excluding resumes but including an organization chart with key personnel and their affiliation). Resumes for each team member shall be limited to a maximum length of two (2) pages and should be attached as an appendix to the RSOQ. Please provide one (1) original and seven (7) copies of the Statement of Qualifications by Thursday, November 16, 2017, 2:00 p.m. AZ time. The City reserves the right to accept or reject any and all Statements of Qualification. The City is an equal opportunity employer.

If a company wishes to submit a company brochure, this can be done under separate cover. The brochure will not be used in the selection process and may be retained in the City files.

5. **DUE DATE AND TIME:** Offerors must submit offers to the City's Purchasing Manager or designee by 2:00 pm on November 16, 2017, at the address or physical location listed on the Introduction/Offer Sheet (Page 1 of RSOQ). Late offers will not be accepted.
6. **RSOQ OFFER OPENING:** Offers shall be opened immediately following the time and at the place designated on the cover page of this document. The name of each Offeror and the identity of the Request for Statements of Qualifications for which the offer was submitted shall be publicly read and recorded in the presence of a witness. Offers, modifications, and all other information received in response of this Request for Statement of Qualifications shall be shown only to City personnel having legitimate interest in the evaluation. After award of the offer, the successful offer and the evaluation documentation shall be open for public inspection.
7. **WITHDRAWAL OF RSOQ OFFER:** At any time prior to the specified offer due date and time, an Offeror (or designated representative) may withdraw the offer. Telegraphic (facsimile) or Mailgram offer withdrawals will not be considered.
8. **AMENDMENT OF RSOQ OFFER:** Receipt of an RSOQ Amendment shall be acknowledged by signing and returning the original document prior to the specified offer due date and time or with the submittal.
9. **EVALUATION/STATEMENT OF QUALIFICATIONS (SOQ):**
The successful firm/team will be selected through a qualifications-based selection process, which will consist of two (2) and possibly three (3) evaluated elements: (1) Statements of Qualifications (SOQs) submitted in response to this RSOQ, (2) Reference verification of the finalists, and (3) interviews of at least three (3) short-listed firms, if applicable.

A Selection Committee will evaluate each RSOQ according to the criteria set forth page 3, #9, A – D. Based on the evaluation criteria scoring (worth a maximum of 100 points) and the reference calls (worth a maximum of 20 points), scores for each firm will be evaluated to determine the Best Qualified for the project. The Selection Panel will forward a rank-ordered list of all of the submittals to the City Engineer for concurrence.

If applicable, prior to conducting the interviews, the City will perform a reference verification process by contacting and interviewing the references provided by the shortlisted firms. The firms receiving the highest evaluation score from the selection panel may be selected to interview for the contract (shortlisted firms). The interview invitation letter will provide the evaluation

criteria to be used. (See Item #10 below). The City reserves the right to conduct personal interviews or require presentations of any or all offers prior to the selection of the best-qualified firm.

The City will enter into negotiations with the top ranked firm and execute a contract upon completion of negotiation of fees and contract terms. If the City is unsuccessful in negotiating a contract with the top ranked team, the City may then negotiate with the next lower ranked team until a contract is executed. The City may decide to terminate the selection process at any time.

Firms interested in providing design services must submit a **State of Qualifications (SOQ)** that addresses the following evaluation criteria:

A. General Information. (10 points)

Provide a general description of the company and/or team that is proposing to provide the services, including identifying sub-consultants. Provide an organization chart showing key personnel. For each key person, provide the following information:

1. Percentage of time that each person will be committed to the project;
2. Length of time with the firm;
3. Applicable professional registrations.

B. Experience and qualifications of the firm/team and key personnel. (40 points)

Provide a list of similar projects on which the project team has experience. For each referenced project, please provide the following information:

1. Description of the project, including project name and location;
2. Project owner and/or client information;
3. Role of the firm, including a description of the services provided;
4. Role of each key team member who worked on the reference project and the percentage of time spent by each key team member on said project;
5. Approximate dates services were provided;
6. Reference information (two contacts including current telephone numbers per project). Firms that do not provide current telephone numbers may be disqualified, at the City's discretion.

C. Understanding of the project and approach to performing the required services. (40 points)

Discuss the major issues your team has identified on this project and how your firm/team intends to address those issues. Identify any technical innovations that may be incorporated and/or innovative approaches that will be used in executing the work. Also, discuss the particular expertise your firm/ team offers and how you propose to use that expertise to benefit the City to add value to the project.

D. Current workload and ability of project team to start immediately. (10 points)

Provide a table or list that summarizes the current major assignments of all key team members, percentage of time committed to each assignment, anticipated end date of major assignments, and percentage of time to be committed to this project. Also include a statement as to when the team would be available to start work on this project. Firms that demonstrate their ability to start immediately and to effectively manage this project

in addition to their current workload may be awarded up to ten (10) points for this sub-category.

- 10. DISCUSSIONS AND INTERVIEWS:** After the receipt of offers, discussions may be conducted with Offerors who submit offers determined to be reasonably susceptible of being selected for award. The City reserves the right to conduct personal interviews or require presentation of any or all offers prior to selection. The City will not be liable for any costs incurred by the Offeror in connection with such interview/presentations.

A Selection/Evaluation Committee will evaluate each Statement of Qualifications (SOQ) according to the above criteria. The Selection/Evaluation Committee, at its sole discretion, may select up to three (3) finalists that will be shortlisted for interviews, or may select a Design Build Firm/Team based solely on the scoring of the SOQ's and reference checks. The following tentative schedule has been prepared for this project. Firms interested in this project must be available on the following dates.

Pre-submittal conference:	November 1, 2017
RSOQ's due:	November 16, 2017
Notification of interviewees (if applicable):	Week of December 11, 2017
Interviews (if applicable):	Week of January 8, 2018
Scope meeting:	TBD with successful Design Build Firm/Team

(BALANCE OF PAGE INTENTIONALLY LEFT BLANK)

STANDARD TERMS AND CONDITIONS

1. **Certification:** By signature in the Offer section of the Offer Award Page, the Offeror certifies that:
 - a. The submission of the offer did not involve collusion or other anti-competitive practices.
 - b. The Offeror shall not discriminate against any employee or applicant for employment in violation of the Federal Executive Order 11246.
 - c. The Offeror has not given, offered to give, or intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted offer. Failure to sign the offer, or signing it with a false statement, shall void the submitted offer or any resulting contracts, and the Offeror may be debarred.
2. **Gratuities:** The City may, by written notice to the Offeror, cancel the resultant contract if it is found by the City that gratuities, in the form of entertainment, gifts or otherwise, were offered or given by the Offeror or any agent or representative of the Offeror, to any officer or employee of the City with a view toward securing an order, securing favorable treatment with respect to the awarding, amending, or the making of any determinations with respect to the performing of such order. In the event the City pursuant to this provision cancels the resultant contract, the City shall be entitled, in addition to any other rights and remedies, to recover or withhold from the Contractor the amount of gratuity. Paying the expense of normal business meals, which are generally made available to all eligible city government customers, shall not be prohibited by this paragraph.
3. **Applicable Law:** In the performance of the resultant contract, Contractors shall abide by and conform to any and all laws of the United States, State of Arizona, and the City of Maricopa including but not limited to federal and state executive orders providing for equal opportunities, the Federal Occupational Safety and Health Act and any other federal or state laws applicable to the contract.
 - a. The resultant contract shall be governed by the State of Arizona and suit pertaining to the contract may be brought only in courts in the State of Arizona.
 - b. The contract is subject to the provisions of ARS § 38-511; the City may cancel the contract without penalty or further obligations by the City or any of its departments or agencies if any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the City or any of its departments or agencies, is at any time while the contract or any extension of the contract is in effect, an employee of any other party to the contract in any capacity or a consultant to any other party of the contract with respect to the subject matter of the contract.
 - c. ARS §35-393.01; All materials submitted as part of a response to a solicitation are subject to Arizona public records law and will be disclosed if there is an appropriate public records request at the time of or after the award of the contract. Recently legislation has been enacted to prohibit the state from contracting with companies currently engaged in a Boycott of Israel. Please refer to Attachment B and complete form as part of your solicitation response. To ensure compliance with A.R.S. §35-393.01. This form must be completed and returned with the response to the solicitation and any supporting information to assist the State in making its determination of compliance. As defined by

A.R.S. §35-393.01 and explained in Chapter 46, House Bill 2617 and outlined in Exhibit A:

1. "Boycott" means engaging in a refusal to deal, terminating business activities or performing other actions that are intended to limit commercial relations with Israel or with persons or entities doing business in Israel or in territories controlled by Israel, if those actions are taken either:

(a) In compliance with or adherence to calls for a boycott of Israel other than those boycotts to which 50 United States Code section 4607(c) applies.

(b) In a manner that discriminates on the basis of nationality, national origin or religion and that is not based on a valid business reason.

2. "Company" means a sole proprietorship, organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, limited liability company or other entity or business association, and includes a wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate.

3. "Direct holdings" means all publicly traded securities of a company that are held directly by the state treasurer or a retirement system in an actively managed account or fund in which the retirement system owns all shares or interests.

4. "Indirect holdings" means all securities of a company that are held in an account or fund, including a mutual fund, that is managed by one or more persons who are not employed by the state treasurer or a retirement system, if the state treasurer or retirement system owns shares or interests either:

(a) together with other investors that are not subject to this section.

(b) that are held in an index fund.

5. "Public entity" means this State, a political subdivision of this STATE or an agency, board, commission or department of this state or a political subdivision of this state.

6. "Public fund" means the state treasurer or a retirement system.

7. "Restricted companies" means companies that boycott Israel.

8. "Retirement system" means a retirement plan or system that is established by or pursuant to Title 38.

4. Legal Remedies: All claims and controversies shall be subject to resolution according to the terms of the City of Maricopa Procurement Code.

5. Contract: Any resultant contract between the City of Maricopa and the Contractor shall include:

- a. RSOQ, including instructions, terms and conditions, scope of work, attachments, and any amendments thereto,
- b. The offer submitted by the Offeror in response to the RSOQ and any additional changes or amendments mutually negotiated, including the Not to Exceed Fee for services.

The City of Maricopa Scope of Services is provided with the solicitation. It is the Offeror's responsibility to review these documents and identify any conflicts/issues as part of the proposal. Please note that the Contract is subject to revisions suggested by the City Council.

In the event of a conflict in language between the solicitation, the contract or the offer, the provisions and requirements of the contract shall govern. In addition, the contract shall be amended in the case of a conflict in the manner stated in the contract. The order of priority shall be the following:

- a. Contract;
- b. RSOQ Solicitation; and
- c. Offer.

6. Contract Applicability: The Offeror shall substantially conform to the terms, conditions, specifications, and other requirements found within the text of this specific RSOQ. All previous agreements, contracts, or other documents, which have been executed between the Offeror and the City, are not applicable to this RSOQ or any resultant contract.
7. Relationship to Parties: It is clearly understood that each party will act in its individual capacity and not as an agent, employee, partner, joint venturer, or associate of the other. An employee or agent of one party shall not be deemed or construed to be the employee or agent of the other for any purpose whatsoever. The Offeror is advised that taxes or Social Security payments will not be withheld from any City payments issued hereunder and that the Offeror should make arrangements to directly pay such expenses, if any.
8. Subcontracts: the Contractor shall enter into no subcontract with any other party to furnish any of the material, service, or construction specified herein without the advance written approval of the City. The Contractor is responsible for contract performance whether or not Subcontractors are used.
9. Indemnification: To the fullest extent permitted by law, the Contractor shall defend, indemnify and hold harmless the City, its agents, representatives, officers, directors, officials, and employees from and against all claims, damages, losses, and expenses (including but not limited to attorney fees, court costs, and the cost of appellate proceedings), relating to, arising out of, or alleged to have resulted from the acts, errors, mistakes, omissions, work or services of the Contractor, its employees, agents, or any tier of subcontractors in the performance of the resultant Contract. Contractor's duty to defend, hold harmless, and indemnify the City, its agents, representatives, officers, directors, officials, and employees shall arise in connection with any claim, damage, loss or expense, that is attributable to bodily injury, sickness, disease, death, or injury to, impairment, or destruction of property including loss of use resulting there from, caused by any acts, errors, mistakes, omissions, work or services in the performance of the resultant including any employee of the Contractor or any tier of subcontractor or any other person whose acts, errors, mistakes, omissions, work or services the Contractor may be legally liable. The amount and type of insurance coverage requirements set forth will in no way be construed as limiting the scope of the indemnity in this paragraph.
10. Overcharges by Antitrust Violations: The City maintains that, in practice, overcharges resulting from antitrust violations are borne by the purchaser. Therefore, to the extent permitted by law, the Contractor hereby assigns to the City any and all claims for such overcharges as to the goods and services used to fulfill the Contract.
11. Force Majeure: Except for payment for sums due, neither party shall be liable to the other not deemed in default under the resultant contract if and to the extent that such party's performance of the contract is prevented by reason of force majeure. The term "force majeure" means an occurrence that is beyond the control of the party affected and occurs without its fault or negligence. Without limiting the foregoing, force majeure includes acts of God: acts of the public enemy; war; riots; strikes; mobilization; labor disputes; civil disorders; fire; floods; lockouts; injunctions-intervention-acts, or failures or refusals to act by government authority; and other

similar occurrences beyond the control of the party declaring force majeure which such party is unable to prevent by exercising reasonable diligence. The force majeure shall be deemed to commence when such party is unable to prevent by exercising reasonable diligence. The force majeure shall be deemed to commence when the party declaring force majeure notifies the other party of the existence of the force majeure and shall be deemed to continue as long as the results or effects of the force majeure prevent the party from resuming performance in accordance with the resultant contract. Force Majeure shall not include the following occurrences:

- a. Late delivery of equipment or materials caused by congestion at a manufacturer's plant or elsewhere, an oversold condition of the market, inefficiencies, or similar occurrences.
- b. Late performance by a subcontractor unless the delay arises out of a force majeure occurrence in accordance with this force majeure term and condition.

Any delay or failure in performance by either party hereto shall not constitute default hereunder or give rise to any claim for damages or loss of anticipated profits if, and to the extent that such delay or failure is caused by force majeure. If either party is delayed at any time in the progress of work by force majeure, then the delayed party shall notify the other party in writing of such delay within forty-eight (48) hours commencement thereof and shall specify the causes of such delay in such notice. Such notice shall be hand delivered or mailed *certificate-return receipt* and shall make specific reference to this article, thereby invoking its provisions. The delayed party shall cause such delay to cease as soon as practicable and shall notify the other party in writing. The time of completion shall be extended by contract modification for a period of time equal to the time that the results or effects of such delay prevent the delayed party from performing in accordance with the resultant contract.

12. Right to Assurance: Whenever one party to the resultant contract in good faith has reason to question the other party's intent to perform he may demand that the other party give written assurance of this intent to perform. In the event that a demand is made and no written assurance is given within five (5) days, the demanding party may treat this failure as an anticipatory repudiation of the Contract.
13. Right to Audit Records: The City may, at reasonable times and places, audit the books and records of any contractor as related to any contract held with the City.
14. Right to Inspect Place of Business: The City may, at reasonable times inspect the place of business of a contractor or subcontractor which is related to the performance of any contract as awarded or to be awarded.
15. Inspection: All material and/or services are subject to final inspection and acceptance by the City. Materials and/or services failing to conform to the specifications of the resultant contract will be held at Contractor's risk and may be returned to the Contractor. If returned, all costs are the responsibility of the Contractor. They may elect to do any or all:
 - a. Waive the non-conformance
 - b. Stop the work immediately
 - c. Bring material into compliance

This shall be accomplished by a written determination for the City.

16. Liens: All materials, services or construction shall be free of all liens, and if the City requests, a formal release of all liens shall be delivered to the City.
17. Licenses: Contractor shall maintain in current status all Federal, State, and Local licenses and permits required for the operation of the business conducted by the Contractor as applicable to the contract. This shall include a City of Maricopa Business License.
18. Patents and Copyrights: All services, information, computer program elements, reports and other deliverables, which may be patented or copyrighted and created under this RSOQ are the property of the City and shall not be used or released by the Contractor or any other person except with the prior written permission of the City.
19. Cost of Bid/Offer: The City shall not reimburse the cost of developing or providing any response to this RSOQ. Offers submitted for consideration should be prepared simply and economically, providing adequate information in a straightforward and concise manner.
20. Public Record: All offers submitted in response to this RSOQ shall become a matter of public record available for review, subsequent to the award notification, in accordance with the City's Procurement Code.
21. Termination for Non-Appropriation: Any contract entered into by the City shall terminate at the end of the then current fiscal period for non-appropriation of funds if the City's governing body fails to appropriate funds to pay for the payments contemplated by the contract. The City's fiscal period ends June 30th of each year.
22. Warranties: Vendor warrants that all goods delivered under this contract will conform to the requirements of this contract (including all applicable descriptions, specifications, drawings and samples), and will be free from defects in material and workmanship and will be free from defects in design and fit for the intended purpose. Any inspection or acceptance of the goods by Buyer shall not alter or affect the obligation of vendor or the right of Buyer under the foregoing warranties.
23. Cooperative Use of Contract: In addition to the City of Maricopa and with the approval of the contracted vendor, this contract may be extended for use by other municipalities, school districts and government agencies of the State. Any such usage by other entities must be in accordance with the ordinance, charter and/or procurement rules and regulations of the respective political entity.
24. Per A.R.S. § 35-392, the City is prohibited from purchasing from a company that is in violation of the Export Administration Act.
25. **Compliance with Arizona Revised Statutes (ARS)**. Procurement of professional services by a municipality within the State of Arizona is governed by ARS Title 34. By submitting an RSOQ under this solicitation, the submitter certifies that said submittal and their conduct in relation to this solicitation is in compliance with the requirements of ARS Title 34.
26. **Federal Immigration and Nationality Act (FINA)**: By entering into the Contract, the CONTRACTOR warrants compliance with the Federal Immigration and Nationality Act (FINA) and all other Federal immigration laws and regulations related to the immigration status of its employees. The Contractor shall obtain statements from its subcontractors certifying

compliance and shall furnish the statements to the Purchasing Manager upon request. These warranties shall remain in effect through the term of the Contract. The CONTRACTOR and its subcontractors shall also maintain Employment Eligibility Verification forms (I-9) as required by the U.S. Department of Labor's Immigration and Control Act, for all employees performing work under the Contract. I-9 forms are available for download at www.USCIS.GOV.

- 26.1 The City may request verification of compliance for any CONTRACTOR or subcontractor performing work under the Contract. Should the City suspect or find that the CONTRACTOR or any of its subcontractors are not in compliance, the City may pursue any and all remedies allowed by law, including but not limited to: suspension of work, termination of the Contract for default, and suspension and/or debarment of the CONTRACTOR. All costs necessary to verify compliance are the responsibility of the CONTRACTOR.

(BALANCE OF PAGE INTENTIONALLY LEFT BLANK)

SCOPE OF SERVICES

PROJECT DESCRIPTION

The City of Maricopa (City) seeks a qualified design firm or team (hereafter referred to as “design firm”) with extensive programming, site selection, design, and construction administration experience with public Fire Department Administration facilities. The programming and site selection phase will involve extensive coordination with assigned City personnel to determine the location and the overall building and infrastructure requirements to meet the needs of the City. Once the programming and site selection phase is complete, the qualified design firm or team may be retained to complete design phase services, and provide limited construction administration.

All qualified firms that are interested in providing these services are invited to submit their Statement of Qualifications (SOQ). All SOQ’s must comply with the requirements specified in this Request for Statement of Qualifications (RSOQ).

The City of Maricopa seeks to provide a new Fire/Medical Department Administration Facility, complete with the required infrastructure, floor space, storage, technology, and environmental features to provide a reliable, efficient, safe and secure facility to meet the current and future demands of the City of Maricopa. Programming and site selection phase services shall involve a coordinated assessment with the Project Team, consisting of assigned members from the City of Maricopa Fire, Engineering, Development Services, and Information Technology Departments; and shall provide conceptual plans, reports, and technical recommendations to address necessary features of a new facility.

Anticipated project milestones will be developed to meet the following desired schedule:

- Programming/Site Selection, February, 2017 – July 2018
- Detailed Design Services, August 2018 – August 2019
- Construction Services, September 2019 – September 2020

The City anticipates entering into a separate contract with a Construction Manager at Risk (CMAR) contractor to act as the general contractor for the project. The selected design firm will work closely with the CMAR as an integral part of the Project Team during the design and construction phases of the project.

SCOPE OF WORK

The following is a brief summary of the key project tasks:

Task 1: Programming Services and Site Selection.

The primary purpose of the Programming Services and Site Selection phase will be to conduct workshops, investigate concepts, and explore alternatives in order to develop program requirements related to building size, layout, and location.

Task 1.1 – Concept Workshops and Research.

The design firm shall conduct workshops with the Project Team to brainstorm and coordinate the programming elements of the Fire/Medical Department Administration Facility. The design

firm will be responsible for conducting additional research as needed to ascertain the values, goals, objectives, and needs of the City for developing the programming recommendations for the facility.

The design firm shall also research the potential for public/private partnerships as it relates to future development opportunities on or near City owned sites. Depending on the site, this may include exploring strategic ways to generate additional funding sources through public/private partnerships and developing a strategy to access the opportunities for re-sale and/or repurposing of the site in response to growing economic demands.

The design firm shall develop a decision making model that is as rational and long term as possible with a guiding principle of maximizing fiscal sustainability.

Task 1.2 – Programming Recommendations.

Based on information from the concept workshops and independent research, the design firm shall prepare a comprehensive report outlining the programming recommendations for features associated with the Fire Department Administration Facilities. The report may also identify opportunities for value engineering, and shall provide a ROM (Rough Order of Magnitude) of the estimated costs for program options and alternatives. The design firm will work with the Project Team to finalize the necessary programming elements and establish the criteria to be used to further develop conceptual plans. The design firm shall provide a final comprehensive programming report and recommendations prior to proceeding with conceptual plans.

Task 1.3 – Site Selection

Based on the programming recommendations, the design firm will work with the project team to evaluate City owned properties throughout the City to determine site suitability and feasibility. The design firm will assist the Project Team in evaluating site locations related to site development requirements, as well as the urban design opportunities in and around the site, and other issues necessary to support the strategic goals of the City.

Task 1.4 – Conceptual Development Plans

Based on the final programming recommendations and site selection, the design firm will develop conceptual plans that will be presented in additional workshops to the Project Team. The conceptual plans shall be presented in color graphics depicting the site layout, interaction of the elements, and visual themes with a listing of the programming elements utilized for the conceptual plan and a respective preliminary cost estimate. The design firm shall not proceed to prepare the final development plan until the Project Team has approved the conceptual development plan.

Task 1.5 – Final Development Plan

The design firm shall prepare a final conceptual plan which may include, but not be limited to full color artist renderings, 3D CAD rendered models, and other illustrative graphics to depict the concept plans. The final presentation shall include floor plans, elevations, and a summary of required infrastructure; also include a preliminary cost estimate, a summary of programming requirements, and a palette of design components for the elements. The design firm shall present this plan to the Project Team. After the Project Team approves the final plan, the Project

Team may direct the design firm to prepare and make a presentation to the City Council and/or City Management for input and direction.

Task 2: – Detailed Design and Construction Documents

The design firm will provide complete architectural and engineering design services to prepare detailed design and construction documents. Each submittal package shall include detailed plans, technical specifications, and assistance to the CMAR for development of the cost model. The design firm shall assist with utility coordination and agency approvals.

Task 3: – Coordination with the CMAR.

The Project Team intends to construct this project using the CMAR process. Tasks requiring the design firm to coordinate with the Project Team and the CMAR include:

- Coordinating and reviewing project schedules for both design and construction
- Reviewing construction cost estimates
- Evaluating value engineering concepts
- Addressing design review comments from the City and the CMAR
- Assisting the Project Team in reviewing and evaluating Guaranteed Maximum Price (GMP) proposals

Task 4: – Construction Phase Services.

The construction administration assignment will be determined prior to completion of the design and a contract amendment issued as needed, to avoid delaying the project.

The design firm may be requested to prepare Record Drawings for the project, based upon the contractor furnished information and field observations, after project completion. The Record Drawings, if requested, will be required in both hard copy and digital format (AutoCAD).

GENERAL INFORMATION

Planholder Lists and Bid Schedule. This Design Build Request for Statement of Qualifications will be listed on the City's website www.maricopa-az.gov, E-Gov, Bid Opportunities.

Alternate Format. The City of Maricopa will provide reasonable accommodations for alternate formats, ADA compliant, of the Request for Statement of Qualifications by calling Kathleen M. Shipman at (520) 316-6846. Requests will only be honored if made within the first week of the advertising period. Please allow a minimum of seven calendar days for production.

(BALANCE OF PAGE INTENTIONALLY LEFT BLANK)

SPECIAL TERMS AND CONDITIONS

PURPOSE:

The City of Maricopa (City) seeks a qualified design firm or team (hereafter referred to as “design firm”) with extensive programming, site selection, design, and construction administration experience with public Fire/Medical Department Administration facilities. The programming and site selection phase will involve extensive coordination with assigned City of Maricopa personnel to determine the location and the overall building and infrastructure requirements to meet the needs of the City. Once the programming and site selection phase is complete, the qualified design firm or team may be retained to complete design phase services, and provide limited construction administration.

All qualified firms that are interested in providing these services are invited to submit their Request for Statement of Qualifications (RSOQ). All RSOQ’s must comply with the requirements specified in this Request for Statement of Qualifications (RSOQ).

The products and services required are detailed in this RSOQ. Based on an evaluation of the Offers and qualifications of the firms responding to this solicitation, the City desires to retain a qualified firm until project is completed.

1. **AUTHORITY:** This Solicitation as well as any resultant contract is issued under the authority of the City. No alteration of any resultant contract may be made without the express written approval of the City in the form of an official contract amendment. Any attempt to alter any contract without such approval is a violation of the contract. Any such action is subject to the legal and contractual remedies available to the City inclusive of, but not limited to, contract cancellation, suspension and/or debarment of the contractor.
2. **TERM OF CONTRACT:** The term of any resultant contract shall commence upon the issuance of a valid purchase order following the date of award and shall continue until the project is completed, unless terminated, cancelled or extended as otherwise provided herein.
3. **PAYMENT:** The City will make every effort to process payment for the purchase of goods or services within thirty (30) calendar days after receipt of goods or services and a correct notice of amount due, unless a good faith dispute exists as to any obligation to pay all or a portion of the account.
4. **TAXES:** The City of Maricopa is exempt from Federal Excise Tax, including the Federal Transportation Tax. Sales tax, if any, shall be indicated as a separate item.
5. **KEY PERSONNEL:** It is essential that the Contractor provide adequate experienced personnel, capable of and devoted to the successful accomplishment of work to be performed under any resultant contract. The Contractor must agree to assign specific individuals to the key positions.
 - a. The Contractor agrees that, once assigned to work under any resultant contract, key personnel shall not be removed or replaced without written notice to the City.
 - b. If key personnel are not available for work under any resultant contract for a continuous period exceeding 30 calendar days, or are expected to devote substantially

less effort to the work than initially anticipated, the Contractor shall immediately notify the City, and shall, subject to the concurrence of the City, replace such personnel with personnel of substantially equal ability and qualifications.

6. CONFIDENTIALITY OF RECORDS: The contractor shall establish and maintain procedures and controls that are acceptable to the City for the purpose of assuring that information contained in its records or obtained from the City remains confidential pursuant to applicable requirements.
7. AWARD OF CONTRACT: Notwithstanding any other provision of this *Request for Statement of Qualifications*, the City expressly reserves the right to:
 - a. Waive any immaterial defect or informality; or
 - b. Reject any or all offers, or portions thereof, or
 - c. Reissue a Request for Statement of Qualifications
 - d. Unless the Offeror states otherwise, the City reserves the right to award by individual line item, by group of line items, or as a total, whichever is deemed most advantageous to the City. If the Offeror's offer is an "all or nothing" offer, it must be so indicated on the offer sheet.
8. RESULTANT CONTRACT: A contract shall be issued between the City and the successful Offeror(s) following award by the City Council.
9. COMPENSATION EVALUATION: Pursuant to A.R.S. 34-103, *et. seq.*, the most qualified firm or person(s) shall be asked for priced proposals. In the event an agreement cannot be established with the top ranked firm or person(s), the negotiations shall be terminated and the next highest ranked firm or person(s) shall be asked for a priced proposal. This process shall continue in turn with the highest ranked and qualified firm or person(s) until an agreement is reached.
10. BONDS: Not applicable.
11. INSURANCE: The City requires a complete and valid certificate of insurance prior to the commencement of any service or activity specified in this solicitation. The City will notify the successful contractor(s) of the intent to issue a contract award. The successful contractor(s) shall at that time submit a copy of the insurance certificate for coverage with minimum amounts stated. The coverage shall be maintained in full force and effect during the term of the contract and shall not serve to limit any liabilities or any other contractor obligations.
12. LICENSES: Contractor shall maintain in current status all Federal, State, and Local Licenses and permits required for the operation of the business conducted by the contractor. This shall include a City of Maricopa Business License.
13. CONTRACT CANCELLATION: The City reserves the right to cancel the whole or any part of any resultant contract due to failure by the contractor to carry out any obligation, term or condition of any resultant contract. The City will issue written notice to the contractor for acting or failing to act as in any of the following:
 - a. The contractor provides material that does not meet the specifications of the contract;
 - b. The contractor fails to adequately perform the services set forth in the specifications of the contract;

- c. The contractor fails to complete the work required or to furnish the materials required within the time stipulated in the contract;
 - d. The contractor fails to make progress in the performance of the contract and/or gives the City reason to believe that the contractor will not or cannot perform to the requirements of the contract.
- 13.1 Upon receipt of the written notice of concern, the contractor shall have ten (10) days to provide a satisfactory response to the City. Failure on the part of the contractor to adequately address all issues of concern may result in the City resorting to any single or combination of the following remedies:
- a. Cancel any contract;
 - b. Reserve all rights or claims to damage for breach of any covenants of the contract;
 - c. Perform any test or analysis on materials for compliance with the specifications of the contract.
 - d. If the results of any test or analysis find a material non-compliance with the specifications, the actual expense of testing shall be borne by the contractor;
 - e. In case of default, the City reserves the right to purchase materials, or to complete the required work. The City may recover any actual excess costs from the contractor by:
 - i. Deduction from an unpaid balance;
 - ii. Collection against the bid and/or performance bond, or;
 - iii. Any combination of the above or any other remedies as provided by law.
14. CITY KEY PERSONNEL AND PROJECT MANAGEMENT: The City shall assign project, task and other project leaders to assist the consultant in organizing and communicating project processes, goals and objectives to the appropriate stakeholders during the course of this engagement.
- a. The current city project manager shall be the Engineering / Floodplain Manager for purposes of coordinating the project effort within the City of Maricopa.

(BALANCE OF PAGE INTENTIONALLY LEFT BLANK)

BID FORMAT AND REQUIRED RESPONSES

The information set forth in paragraphs below must be included with all bids. Failure to provide any of the information requested by these paragraphs is grounds for the City to reject a bid.

In order for the City to conduct a uniform review process, all bids must be submitted in the format set forth below. Failure to follow this format may be cause for rejection.

The RSOQ should include a two-page letter of transmittal/cover letter plus a maximum of twelve (12) pages plus appendix, to address the RSOQ criteria specified below, and criteria included in Section 9, starting on Page 3 of this RSOQ. Resumes for each key team member shall be limited to a maximum length of two pages and should be attached as an appendix to the RSOQ. An unbound original plus seven (7) bound copies (total of eight) of the Statement of Qualifications must be submitted to the Purchasing Manager at the Maricopa City Hall, 39700 W. Civic Center Plaza, Maricopa, AZ 85138 at 2:00 PM Arizona Time on Thursday, November 16, 2:00 p.m. AZ time.

Interested teams are invited to respond in writing to:

Kathleen M. Shipman, Purchasing Manager
City of Maricopa
Financial Services Department
39700 W. Civic Center Plaza
Maricopa, AZ 85138

Please be advised that failure to comply with the following criteria will be grounds for disqualification and will be strictly enforced:

- Receipt of a complete and signed submittal, to include the following:
 - Receipt of submittal by the specified cut-off date and time
 - The number of originals and/or copies of the submittal specified
 - Adherence to the maximum page requirement
 - Deposit of submittal in correct location
 - Providing a signed copy of addenda, if any, in response to this RSOQ. It will be the interested firm's responsibility to check the website <http://www.maricopa-az.gov> for any updates, including addenda.
 - Adherence to the Evaluation Criteria requirements, page 3, Item #9

Adherence to the maximum page criterion is critical; each page side (maximum 8 1/2" x 11") with criteria information will be counted. Pages that have project photos, charts, and graphs will be counted towards the maximum number of pages. Table of Contents pages and tabbed divider pages will not be counted if they do not contain submittal information.

1. Offer Sheet: The attached Introduction/Offer Sheet (Page 1 of RSOQ) must be completed and returned with the Offeror's bid. Failure to return the Offer Sheet and to sign it is grounds for the City to reject a bid.
2. Table of Contents: The Table of Contents must indicate the material included in the bid by section and page number. A bid's table of contents should mirror this section of the City's

Request for Statements of Qualifications and must include all the items set forth in this section of the Request for Statements of Qualifications.

3. Letter of Transmittal: (Limit to two pages): A letter of transmittal must be submitted with an Offeror's bid. The letter must include:
 - a. A statement of the Offeror's understanding of the products and services required by the Request for Statements of Qualifications listed in the scope of work.
 - b. The names of the persons who are authorized to make representations on behalf of the Offeror (include their titles, addresses, fax number, e-mail addresses and telephone numbers).
 - c. A statement that the individual who signs the transmittal letter is authorized to bind the Offeror to contract with the City.
4. Firm Overview: (Excluding resumes as attachments, limit to two pages):
 - a. Your firm is in what primary line of business?
 - b. Does your firm have at least one office located in the State of Arizona?
 - c. Discuss the structure of your firm. If a private firm, please state whether a corporation, partnership, sole proprietorship, or combination. Provide a listing of all principals and/or owners. Indicate the length of time the firm has been in business under the current business name as well as any previous business names.
5. Evaluation Criteria: Please address all of the items listed in the evaluation criteria, beginning on page 3 of the "Instructions of Offerors", Item # 9.
6. License(s) Copies: Please include copies of project team member's licenses. These are to be included in the Appendix and limit to two (2) pages per team member.
7. Bonds: Not applicable.
8. Participation of Boycott of Israel, Attachment B: Please read, sign and included a copy of Attachment B.
9. Disclosures of conflict of interest: (Limit to one page): The offeror shall include a statement that no conflicts of interest exist as defined by Arizona Revised Statutes, Title 38, Chapter 3, Article 8. In the event any professional or personal financial interest does exist, the nature of the relationship shall be disclosed to the City and examined by the City for the material facts of the disclosure. The above reference statute shall govern the actions of the city in the event a conflict exists.
10. Proposed Fees/Compensation: Not applicable at this time.
11. Confidential Information: If a person believes that a bid, offer, specification, or protest contains information that should be withheld, a statement advising the Finance Director of this fact shall accompany the submission and the information shall be identified.
12. The information identified by the person as confidential shall not be disclosed until the Finance Director makes a written determination.

Vendor Submittal Checklist

- _____ 1 Unbound original, marked, and 7 bound copies, marked
- _____ Signed Offer Sheet (not counted in 12 page limit)
- _____ Table of Contents (not counted in 12 page limit)
- _____ Signed Letter of Transmittal/Cover Letter – 2 pages (not counted in 12 page limit)
- _____ Firm Overview – 2 pages
- _____ Organizational Chart
- _____ Key Personnel Experience
- _____ Understanding of the Project
- _____ Method of Approach
- _____ Copies of Licenses (in appendix)
- _____ Address the Evaluation Criteria as defined in Item #9, page 3
- _____ Attachment B, completed, signed and returned
- _____ Resumes – 2 pages each (in appendix)
- _____ Disclosure of Conflict of Interest
- _____ References
- _____ Substitute W-9 (in appendix)
- _____ 12-page limit adhered to
- _____ Amendment #1, signed (if applicable)
- _____ Amendment #2, signed (if applicable)

(BALANCE OF PAGE INTENTIONALLY LEFT BLANK)

ATTACHMENT A SUBSTITUTE W-9 FORM

PART I: Company Information:

1. Name (as shown on Income Tax Return): _____
2. Business Name (if different than above): _____
3. DUNS #: _____
4. Federal employer identification number (or SSN): _____
5. Type of organization (check one):

Individual/Sole Proprietor	Limited Liability Company*
Corporation	*Choose the tax classification
Partnership	Disregarded Entity
Other: _____	Corporation
	Partnership
6. Order Address:

_____	_____	_____	_____
(Order address)	(City)	(State)	(Zip code)
7. Remittance address (if different than above):

_____	_____	_____	_____
(Remittance address)	(City)	(State)	(Zip code)
8. Contact person for bid invitations: _____
9. Phone Number: _____ Fax Number: _____
10. Email address of contact person: _____
11. Applicant is a (check one):

Factory Representative	Jobber
Manufacturer	Authorized distributor
Retail dealer	Contractor
Consultant	Other: _____
12. Indicate if the business is registered as a minority or woman-owned company.

Minority-owned	Woman-owned	Not Applicable
----------------	-------------	----------------
13. How long has the company been in business? _____
14. Does applicant currently hold a valid business license issued by the City of Maricopa?

Yes	No
-----	----

**ATTACHMENT A (CONT'D)
SUBSTITUTE W-9 FORM**

PART II: COMMODITY OR SERVICE DESCRIPTION

1. Commodity/Service description (*this section must be completed*):
-
-

PART III: APPLICANT TERMS & CERTIFICATION

Terms:

The City of Maricopa may take up to 30 calendar days after the receipt of vendor's invoice to render payment unless other arrangements are made through a written contract. Applicant's signature below signifies acceptance of those terms.

Under Penalties of perjury, I certify that:

1. The number shown on this form is my correct federal employer identification number.
2. I am not subject to backup withholding because of failure to report interest and dividend income.
3. I am a U.S. person (including a U.S. resident alien).
(NOTE: You must cross out item 2. above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return).
4. The following business ownership classifications are applicable:
Disadvantaged Business Enterprise Ownership Classification (Select One Only):

<input type="checkbox"/> 1 Non-Small/Non-Minority/Non-Disabled	<input type="checkbox"/> 8 Small Business/Disabled Owner
<input type="checkbox"/> 2 Small Business (Per ARS §41-1001.14)	<input type="checkbox"/> 9 Minority Woman Owned Business
<input type="checkbox"/> 3 Minority Owned Business [Per 15 CFR §1400.1(a)]	<input type="checkbox"/> 10 Disabled-Minority Owned Business
<input type="checkbox"/> 4 Woman Owned Business	<input type="checkbox"/> 11 Disabled-Woman Owned Business
<input type="checkbox"/> 5 Owned By Disabled Individual (Per ARS §41-1492.5)	<input type="checkbox"/> 12 Small Business/Minority-Woman Owned
<input type="checkbox"/> 6 Small Business/Minority Owned	<input type="checkbox"/> 13 Small Business/Disabled-Minority Owned
<input type="checkbox"/> 7 Small Business/Woman Owned	<input type="checkbox"/> 14 Small Business/Disabled-Minority-Woman Owned

"The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding."

Name (*Please print*)

Signature

Title (*Please print*)

Date

ATTACHMENT B
PARTICIPATION IF BOYCOTT OF ISRAEL

	Participation if Boycott of Israel		State of Arizona State Procurement Office 100 N. 15th Ave., Suite 201 Phoenix, AZ 85007
		PAGE 1	
		OF 1	

All materials submitted as part of a response to a solicitation are subject to Arizona public records law and will be disclosed if there is an appropriate public records request at the time of or after the award of the contract. Recently legislation has been enacted to prohibit the state from contracting with companies currently engaged in a boycott of Israel. To ensure compliance with A.R.S. §35-393.01 This form must be completed and returned with the response to the solicitation and any supporting information to assist the State in making its determination of compliance.

As defined by A.R.S. §35-393.01:

1. "Boycott" means engaging in a refusal to deal, terminating business activities or performing other actions that are intended to limit commercial relations with Israel or with persons or entities doing business in Israel or in territories controlled by Israel, if those actions are taken either:
 - (a) In compliance with or adherence to calls for a boycott of Israel other than those boycotts to which 50 United States Code section 4607(c) applies.
 - (b) In a manner that discriminates on the basis of nationality, national origin or religion and that is not based on a valid business reason.
2. "Company" means a sole proprietorship, organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, limited liability company or other entity or business association, and includes a wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate.
3. "Direct holdings" means all publicly traded securities of a company that are held directly by the state treasurer or a retirement system in an actively managed account or fund in which the retirement system owns all shares or interests.
4. "Indirect holdings" means all securities of a company that are held in an account or fund, including a mutual fund, that is managed by one or more persons who are not employed by the state treasurer or a retirement system, if the state treasurer or retirement system owns shares or interests either:
 - (a) together with other investors that are not subject to this section.
 - (b) that are held in an index fund.
5. "Public entity" means this State, a political subdivision of this STATE or an agency, board, commission or department of this state or a political subdivision of this state.
6. "Public fund" means the state treasurer or a retirement system.
7. "Restricted companies" means companies that boycott Israel.
8. "Retirement system" means a retirement plan or system that is established by or pursuant to title 38.

All offerors must select one of the following:

_____ My company **does not** participate in, and agrees not to participate in during the term of the contract a boycott of Israel in accordance with A.R.S. §35-393.01. I understand that my entire response will become public record in accordance with A.A.C. R2-7-C317.

_____ My company **does** participate in a boycott of Israel as defined by A.R.S. §35-393.01. :

By submitting this response, proposer agrees to indemnify and hold the State, its agents and employees, harmless from any claims or causes of action relating to the State's action based upon reliance on the above representations, including the payment of all costs and attorney fees incurred by the State in defending such an action.

Company Name

Address

City State Zip

Signature of Person Authorized to Sign

Printed Name

Title

SPO Form 205 – Israel Boycott Affidavit

EXHIBIT A
HOUSE BILL 2617/CHAPTER 46
Senate Engrossed House Bill

State of Arizona House of Representatives Fifty-second Legislature Second Regular Session 2016

AN ACT

**AMENDING TITLE 35, CHAPTER 2, ARIZONA REVISED STATUTES, BY ADDING ARTICLE 9;
RELATING TO PUBLIC CONTRACTS AND INVESTMENTS**

Senate Engrossed House Bill

State of Arizona
House of Representatives
Fifty-second Legislature
Second Regular Session
2016

CHAPTER 46
HOUSE BILL 2617

AN ACT

**AMENDING TITLE 35, CHAPTER 2, ARIZONA REVISED STATUTES, BY ADDING ARTICLE 9;
RELATING TO PUBLIC CONTRACTS AND INVESTMENTS.**

(TEXT OF BILL BEGINS ON NEXT PAGE)

EXHIBIT A (CONT'D)
HOUSE BILL 2617/CHAPTER 46
Senate Engrossed House Bill

1 Be it enacted by the Legislature of the State of Arizona:
2 Section 1. Title 35, chapter 2, Arizona Revised Statutes, is amended
3 by adding article 9, to read:
4 ARTICLE 9. ISRAEL BOYCOTT DIVESTMENTS
5 **35-393. Definitions**
6 IN THIS ARTICLE, UNLESS THE CONTEXT OTHERWISE REQUIRES:
7 1. "BOYCOTT" MEANS ENGAGING IN A REFUSAL TO DEAL, TERMINATING BUSINESS
8 ACTIVITIES OR PERFORMING OTHER ACTIONS THAT ARE INTENDED TO LIMIT COMMERCIAL
9 RELATIONS WITH ISRAEL OR WITH PERSONS OR ENTITIES DOING BUSINESS IN ISRAEL OR
10 IN TERRITORIES CONTROLLED BY ISRAEL, IF THOSE ACTIONS ARE TAKEN EITHER:
11 (a) IN COMPLIANCE WITH OR ADHERENCE TO CALLS FOR A BOYCOTT OF ISRAEL
12 OTHER THAN THOSE BOYCOTTS TO WHICH 50 UNITED STATES CODE SECTION 4607(c)
13 APPLIES.
14 (b) IN A MANNER THAT DISCRIMINATES ON THE BASIS OF NATIONALITY,
15 NATIONAL ORIGIN OR RELIGION AND THAT IS NOT BASED ON A VALID BUSINESS REASON.
16 2. "COMPANY" MEANS A SOLE PROPRIETORSHIP, ORGANIZATION, ASSOCIATION,
17 CORPORATION, PARTNERSHIP, JOINT VENTURE, LIMITED PARTNERSHIP, LIMITED
18 LIABILITY PARTNERSHIP, LIMITED LIABILITY COMPANY OR OTHER ENTITY OR BUSINESS
19 ASSOCIATION, AND INCLUDES A WHOLLY OWNED SUBSIDIARY, MAJORITY-OWNED
20 SUBSIDIARY, PARENT COMPANY OR AFFILIATE.
21 3. "DIRECT HOLDINGS" MEANS ALL PUBLICLY TRADED SECURITIES OF A COMPANY
22 THAT ARE HELD DIRECTLY BY THE STATE TREASURER OR A RETIREMENT SYSTEM IN AN
23 ACTIVELY MANAGED ACCOUNT OR FUND IN WHICH THE RETIREMENT SYSTEM OWNS ALL
24 SHARES OR INTERESTS.
25 4. "INDIRECT HOLDINGS" MEANS ALL SECURITIES OF A COMPANY THAT ARE HELD
26 IN AN ACCOUNT OR FUND, INCLUDING A MUTUAL FUND, THAT IS MANAGED BY ONE OR
27 MORE PERSONS WHO ARE NOT EMPLOYED BY THE STATE TREASURER OR A RETIREMENT
28 SYSTEM, IF THE STATE TREASURER OR RETIREMENT SYSTEM OWNS SHARES OR INTERESTS
29 EITHER:
30 (a) TOGETHER WITH OTHER INVESTORS THAT ARE NOT SUBJECT TO THIS
31 SECTION.
32 (b) THAT ARE HELD IN AN INDEX FUND.
33 5. "PUBLIC ENTITY" MEANS THIS STATE, A POLITICAL SUBDIVISION OF THIS
34 STATE OR AN AGENCY, BOARD, COMMISSION OR DEPARTMENT OF THIS STATE OR A
35 POLITICAL SUBDIVISION OF THIS STATE.
36 6. "PUBLIC FUND" MEANS THE STATE TREASURER OR A RETIREMENT SYSTEM.
37 7. "RESTRICTED COMPANIES" MEANS COMPANIES THAT BOYCOTT ISRAEL.
38 8. "RETIREMENT SYSTEM" MEANS A RETIREMENT PLAN OR SYSTEM THAT IS
39 ESTABLISHED BY OR PURSUANT TO TITLE 38.
40 **35-393.01. Contracting; procurement; investment; prohibitions**
41 A. A PUBLIC ENTITY MAY NOT ENTER INTO A CONTRACT WITH A COMPANY TO
42 ACQUIRE OR DISPOSE OF SERVICES, SUPPLIES, INFORMATION TECHNOLOGY OR
43 CONSTRUCTION UNLESS THE CONTRACT INCLUDES A WRITTEN CERTIFICATION THAT THE
44 COMPANY IS NOT CURRENTLY ENGAGED IN, AND AGREES FOR THE DURATION OF THE
45 CONTRACT TO NOT ENGAGE IN, A BOYCOTT OF ISRAEL.

EXHIBIT A (CONT'D)
HOUSE BILL 2617/CHAPTER 46
Senate Engrossed House Bill

1 B. A PUBLIC ENTITY MAY NOT ADOPT A PROCUREMENT, INVESTMENT OR OTHER
2 POLICY THAT HAS THE EFFECT OF INDUCING OR REQUIRING A PERSON OR COMPANY TO
3 BOYCOTT ISRAEL.

4 ~~35-393.02. Investment; restricted companies list; notice;~~
5 ~~immunity; exception~~

6 A. ON OR BEFORE APRIL 1 OF EACH YEAR, EACH PUBLIC FUND SHALL PREPARE A
7 LIST OF RESTRICTED COMPANIES AND SHALL PROVIDE A COPY OF THE LIST ON REQUEST.

8 B. IN PREPARING THE LIST OF RESTRICTED COMPANIES, THE PUBLIC FUND MAY
9 CONSIDER AT LEAST THE FOLLOWING:

10 1. PUBLICLY AVAILABLE INFORMATION, INCLUDING INFORMATION PROVIDED BY
11 NONPROFIT ORGANIZATIONS, RESEARCH FIRMS AND GOVERNMENT ENTITIES.

12 2. INFORMATION PREPARED BY AN INDEPENDENT RESEARCH FIRM RETAINED BY
13 THE PUBLIC FUND.

14 3. A STATEMENT BY A COMPANY THAT IT IS PARTICIPATING IN A BOYCOTT OF
15 ISRAEL OR THAT IT HAS TAKEN A BOYCOTT ACTION AT THE REQUEST OF, IN COMPLIANCE
16 WITH OR IN FURTHERANCE OF CALLS FOR A BOYCOTT OF ISRAEL.

17 C. THE PUBLIC FUND SHALL NOTIFY EACH COMPANY THAT IS INCLUDED ON THE
18 LIST OF RESTRICTED COMPANIES THAT THE COMPANY IS SUBJECT TO DIVESTMENT BY THE
19 STATE TREASURER AND THE RETIREMENT SYSTEMS.

20 D. IF A COMPANY THAT RECEIVES NOTICE PURSUANT TO SUBSECTION C OF THIS
21 SECTION SUBMITS A WRITTEN CERTIFICATION TO THE PUBLIC FUND THAT IT HAS CEASED
22 ITS BOYCOTT OF ISRAEL AND WILL NOT ENGAGE IN A BOYCOTT OF ISRAEL FOR THE
23 PERIOD OF TIME THAT THE STATE TREASURER OR A RETIREMENT SYSTEM INVESTS IN THE
24 COMPANY, THE PUBLIC FUND SHALL REMOVE THE COMPANY FROM THE RESTRICTED LIST.

25 E. EACH PUBLIC FUND SHALL:

26 1. SELL, REDEEM, DIVEST OR WITHDRAW ALL DIRECT HOLDINGS OF A
27 RESTRICTED COMPANY FROM THE ASSETS UNDER ITS MANAGEMENT IN AN ORDERLY AND
28 FIDUCIALLY RESPONSIBLE MANNER WITHIN THREE MONTHS AFTER PREPARING THE LIST OF
29 RESTRICTED COMPANIES PURSUANT TO SUBSECTION A OF THIS SECTION. ON OR BEFORE
30 AUGUST 1 OF EACH YEAR, THE STATE TREASURER AND EACH RETIREMENT SYSTEM SHALL
31 POST ON THEIR WEBSITES A LIST OF INVESTMENTS THAT ARE SOLD, REDEEMED,
32 DIVESTED OR WITHDRAWN PURSUANT TO THIS PARAGRAPH.

33 2. NOT ACQUIRE SECURITIES OF A RESTRICTED COMPANY AS PART OF ITS
34 DIRECT HOLDINGS.

35 3. REQUEST THAT MANAGERS OF ITS INDIRECT HOLDINGS CONSIDER SELLING,
36 REDEEMING, DIVESTING OR WITHDRAWING HOLDINGS OF A RESTRICTED COMPANY FROM THE
37 ASSETS UNDER ITS MANAGEMENT.

38 F. WITH RESPECT TO ANY ACTION PERFORMED PURSUANT TO THIS SECTION, THE
39 STATE TREASURER, EACH RETIREMENT SYSTEM AND ANY PERSON ACTING ON BEHALF OF
40 THE STATE TREASURER OR THE RETIREMENT SYSTEM:

41 1. ARE EXEMPT FROM ANY CONFLICTING STATUTORY OR COMMON LAW OBLIGATION
42 OR FIDUCIARY DUTIES WITH RESPECT TO CHOICE OF ASSET MANAGERS, INVESTMENT
43 FUNDS OR INVESTMENTS.

44 2. ARE SUBJECT TO TITLE 12, CHAPTER 7, ARTICLE 2 REGARDING IMMUNITY
45 FOR ACTS AND OMISSIONS.

EXHIBIT A (CONT'D)
HOUSE BILL 2617/CHAPTER 46
Senate Engrossed House Bill

1 3. ARE INDEMNIFIED AND HELD HARMLESS BY THIS STATE FROM CLAIMS,
2 DEMANDS, SUITS, ACTIONS, DAMAGES, JUDGMENTS, COSTS, CHARGES AND EXPENSES,
3 INCLUDING ATTORNEY FEES, AND AGAINST ALL LIABILITY, LOSSES AND DAMAGES
4 BECAUSE OF A DECISION TO SELL, REDEEM, DIVEST OR WITHDRAW HOLDINGS OF A
5 RESTRICTED COMPANY MADE PURSUANT TO THIS SECTION.
6 G. THIS SECTION DOES NOT APPLY TO INVESTMENTS THAT ARE MADE BY THE
7 STATE TREASURER PURSUANT TO SECTION 35-314.01.
8 35-393.03. Severability
9 IF ANY PROVISION OF THIS ARTICLE OR ITS APPLICATION TO ANY PERSON OR
10 CIRCUMSTANCE IS HELD INVALID, THE INVALIDITY DOES NOT AFFECT ANY OTHER
11 PROVISION OR APPLICATION OF THIS ARTICLE THAT CAN BE GIVEN EFFECT WITHOUT THE
12 INVALID PROVISION OR APPLICATION, AND TO THIS END THE PROVISIONS OF THIS
13 ARTICLE ARE SEVERABLE.
14 Sec. 2. Legislative findings
15 A. Boycotts and related tactics have become a tool of economic warfare
16 that threaten the sovereignty and security of key allies and trade partners
17 of the United States.
18 B. The state of Israel is the most prominent target of such boycott
19 activity, beginning with the Arab League Boycott adopted in 1945, even before
20 Israel's declaration of independence as the reestablished national state of
21 the Jewish people.
22 C. Companies that refuse to deal with United States trade partners
23 such as Israel, or entities that do business with or in such countries, make
24 discriminatory decisions on the basis of national origin that impair those
25 companies' commercial soundness.
26 D. It is the public policy of the United States, as enshrined in
27 several federal acts, including 50 United States Code section 4607, to oppose
28 such boycotts, and Congress has concluded as a matter of national trade
29 policy that cooperation with Israel materially benefits United States
30 companies and improves American competitiveness.
31 E. Israel in particular is known for its dynamic and innovative
32 approach in many business sectors, and a company's decision to discriminate
33 against Israel, Israeli entities or entities that do business with Israel or
34 in Israel is an unsound business practice making the company an unduly risky
35 contracting partner or vehicle for investment.
36 F. This state seeks to implement Congress's announced policy of
37 "examining a company's promotion or compliance with unsanctioned boycotts,
38 divestment from, or sanctions against Israel as part of its consideration in
39 awarding grants and contracts and supports the divestment of State assets
40 from companies that support or promote actions to boycott, divest from, or
41 sanction Israel."

APPROVED BY THE GOVERNOR MARCH 17, 2016.

- 3 -

FILED IN THE OFFICE OF THE SECRETARY OF STATE MARCH 18, 2016.

(PAGE INTENTIONALLY LEFT BLANK)